IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

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In re Application of:

WANG, et al.

Serial No.: 09/668,213

Confirmation No.: 9838

Filed: September 22, 2000

For: ELECTRONIC TRANSACTION

SYSTEMS AND METHODS

THEREFOR

Group Art Unit: 3621

Examiner: Cristina O. Sherr

MAIL STOP AMENDMENT

Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

Dear Sir

CERTIFICATE OF TRANSMISSION

I hereby certify that this correspondence and the documents referred to as attached therein are being electronically transmitted to the U.S. Patent and preferrance Office via EFS-Web to the attention of Cristiaa Q Shey con the date shown below.

5114107 Junes A. Sheridan, 25,435

SUPPLEMENTAL INFORMATION DISCLOSURE STATEMENT

The Applicants, and the Attorney who signs below on the basis of the information supplied by the inventor and the information in his file, submit herewith patents, publications, or other information of which they are aware, which may be material to the examination of this application and in respect of which there may be a duty to disclose in accordance with 37 CFR § 1.56.

While the information submitted in this Supplemental Information Disclosure Statement may be material pursuant to 37 CFR § 1.56, it is not intended to constitute an admission that any patent, publication, or other information referred to therein is prior art for this invention unless specifically designated as such.

In accordance with 37 CFR § 1.97, this Supplemental Information Disclosure Statement is not to be construed as a representation that a search has been made or that no other possibly material information as defined under 37 CFR § 1.56(a) exists.

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The patents and/or publications submitted herewith are set forth on the attached Form PTO-SB08a and SB08b. Copies of the US references are not being submitted.

The Commissioner is hereby authorized to charge the sum of \$180.00 due under 37 CFR § 1.17(p) pursuant to § 1.97, and any other fee necessary to make this submission timely, to the Deposit Account No. 20-0782/ESX/005/JAS.

Respectfully submitted,

James A. Sheridan

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Cristina O. Sherr

ESX/005

Under the Paperwork Reduction Act of 1996, no persons are required to respond to a collection of information unless it contains a valid CMB control number. Substitute for form 1449A/PTO Complete if Known Application Number 09/668.213 INFORMATION DISCLOSURE Filing Date September 22, 2000 STATEMENT BY APPLICANT First Named Inventor Ynjiun WANG 3621 Art I Init (Use as many sheets as necessary)

Examiner Name

Attorney Docket Number

Sheet

of

	U.S. PATENT DOCUMENTS									
Examiner	Cite	Document Number	Publication Date	Name of Patentee or Applicant of	Pages, Columns, Lines, Where Relevan					
Initials *	No.1	Number - Kind Code ² (# known)	MM-DD-YYYY	Cited Document	Passages or Relevant Figures Appear					
	A1	4,701,601	10/20/1987	Francini, et al.						
	A2	4,791,283	12/13/1988	Burkhardt						
	A3	4,802,217	1/31/1989	Michener						
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	No.1	Country Code ³ - Number ⁶ - Kind Code ⁵ (if known)	Date MM-DD-YYYY	Applicant of Cited Document	Passages or Relevant Figures Appear	T ⁰
	B1	EP 0261030	Mar-88	Fujitsu Limited		
	B2	WO 97/21188	Jun-97	Hanna, et al.		
	B3	WO 97/46980	Dec-97	Gifford, et al.		
	B4	WO 98/25371	6/11/1998	Wang, Ynjiun		
	B5	WO 98/32093	7/1/1998	Seal, et al.		
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	B7	CN/ 1211770 A	3/24/1999	Zhenning Yang		
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	B9	WO 99/46691	Sep-99	Newton Farrell		
	B10	WO 99/49613	Sep-99	Bozoki, Eva		
	B11	WO 99/67844	12/29/1999	Jungnitz, Michael		
	B12	JP 2000/069521	3/3/2000	Omori Tadashi		
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	B14	WO 01/03086	1/11/2001	Savage, et al.		
	B15	WO 01/69388	9/20/2001	eSign, Inc.		

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*EXAMINER: Initia	I if reference considered, whether or not citation is in confo	mance with MPEP 609	Draw line through citation if not in conformance and not

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Substitute	for form 1449A/PTC	1		Complete if Known		
				Application Number	09/668,213	
			CLOSURE	Filing Date	September 22, 2000	
STAT	EMENT B	Y AI	PPLICANT	First Named Inventor	Ynjiun WANG	
				Art Unit	3621	
(Use as many sheets as necessary)				Examiner Name	Cristina O. Sherr	
Sheet	2	of	6	Attorney Docket Number	ESX/005	

			U.S. PATENT I	DOCUMENTS	
Examiner Initials *	Cite No.1	Document Number Number - Kind Code ² (if known)	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
	A16	5,020,105	5/28/1991	Rosen, et al.	Figures Appeal
	A17	5,070,150	12/03/1991	Hopper	
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Examiner Cite		Foreign Patent Document	Publication	Name of Patentee or	Pages, Columns, Lines,	
Initials*	No.1	Country Code ³ - Number ⁴ - Kind Code ⁶ (If known)	Date MM-DD-YYYY	Applicant of Cited Document	Where Relevant Passages or Relevant Figures Appear	T ⁶
	B16	EP/1 152 378	3/12/2003	Whytock		
	B17	WO 03/065318	8/7/2003	Ynjiun P. Wang		
	B18	AU 2000/77342	Apr-01	Christensen, et al.	(Unavailable)	
	B19	WO 2001/24091	Apr-01	Christensen, et al.		
	B20	TW 355899	11/14/1997	Qualcomm, Inc.		

Examiner Signature	Date Considered	

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_	Substitute	for form 1449A/PT0)			Complete if Known	
					Application Number	09/668,213	
	INFO	RMATION	DIS	CLOSURE	Filing Date	September 22, 2000	
	STAT	EMENT B	Y A	PPLICANT	First Named Inventor	Ynjiun WANG	
					Art Unit	3621	
		(Use as many she	eets as	necessary)	Examiner Name	Cristina O. Sherr	
	Sheet	3	of	6	Attorney Docket Number	ESX/005	

Examiner	Cita	Document Number	Publication Date	Name of Patentee or Applicant of	Pages, Columns, Lines, Where Relevant
Initials *	Cite No.1	Number - Kind Code ² (if known)	MM-DD-YYYY	Cited Document	Pages, Columns, Lines, Where Relevan Passages or Relevant Figures Appear
	A37	5,510,992	4/23/1996	Kara	
	A38	5,524,052	6/4/1996	Augustine, et al.	
	A39	5,544,086	8/6/1996	Davis, et al.	
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	A44	5,604,801	2/18/1997	Dolan, et al.	
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Examiner	Cite	Document Number	Publication Date	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevan
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	A98	5,978,840	11/2/1999	Nguyen, et al.	
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Signature Considered	

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	A103	6,006,328	12/21/1999	Drake	i iguito rypeai	
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	A133	6,600,823	7/29/2003	Hayosh		
	A134	RE 34,954	05/30/1995	Haber, et al.		
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				Application Number	09/668,213	
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ST	ATEMENT	BY A	PPLICANT	First Named Inventor	Ynjiun WANG	
				Art Unit	3621	
	(Use as man	y sheets as	necessary)	Examiner Name	Cristina O. Sherr	
She	et 6	of	6	Attorney Docket Number	ESX/005	

		NON PATENT LITERATURE DOCUMENTS	
Examiner Initials *	Cite No.1	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, sarrial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.	T 2
	C1	HABER, et al. "Secure Names for Bitstrings" Proceedings of the 4 th ACM Conference on Computer & Communications Security pp. 28-35	
	C2	N. ASOKAN; "Authenticating public terminals"; Computer Networks; 1999; 1389-1286/99; 1999 Elsevier	
	СЗ	CHAPLAIN, "Is your wire transfer system secure?" Internal Auditor, v52 n3 p56 06/1995	
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	C5	CAROL H. FANCHER "In your pocket smartcards, Electronic Payments" IEEE Spectrum, 2/1997 Motorola Inc., pp. 47-53	
	C6	PRICE, WYN L. "The NPL Intelligent Token and its Application" Eurocrypt '86	
	C7	KRIVACHY, T "The Chipcard – An Identification Card with Cryptographic Protection" Eurocrypt '85	
	C8	SCHAUMELLER-BICHI, I "IC-Card in High Security Applications" Eurocrypt '87	
	С9	NOOR, Arshad "PGP: Pretty Good Privacy" Unix Review Journal v13n2 PP: 31-38 February 1995	
	C10	FUNG, et al. "Protection of Keys agains Modification Attack" IEEE 2001 pp 26-36	

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